

Docket No. 243015USO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Youko SUGIO, et al.

SERIAL NO: 10/667,304

FILED: September 23, 2003

FOR: PRODUCTION PROCESS OF 2,7-DIBROMO-4-CHLOROPHENOL

GAU: 1621

EXAMINER: Witherspoon, S.A.

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.


DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

M/08/2005 WILLARI 00000003 150030 10667304

M FC:1806 180.00 DA

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. OblonVincent K. Shier, Ph.D.
Registration No. 50,552

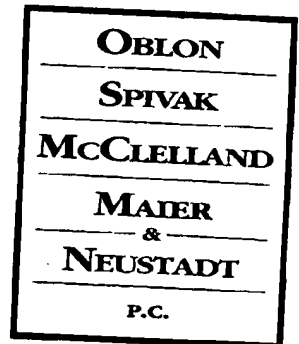
Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMAN 05/03)Adjustment Date: 06/27/2005
04/08/2005 WILLARI 00000003 150030 10667304
01 FC:1806 180.00 CR

2005 APR 19 PM 12:03

April 19, 2005



ATTORNEYS AT LAW
KATHLEEN A. MORSBERGER
CONTROLLER
(703) 412-6494
KMORSBERGER@OBLON.COM

UNITED STATES PATENT AND TRADEMARK OFFICE
Box 16
Washington, DC 20231

Attn: Frank Lebron
Refund Department

Re: Deposit Account #150030

Dear Mr. Lebron:

Enclosed is a copy of a portion of our deposit account statement of April, 2005. Please review the highlighted charge on **Serial Number 10/667,304 in the amount of \$180.00 on fee code #1806.**

An Information Disclosure Statement (IDS) was filed with a Request for Continued Examination (RCE) on March 24, 2005. **Since the IDS was filed with an RCE, certification and payment of a fee is not necessary.** Therefore, there should not be a charge on our deposit account for an IDS.

Please investigate this charge and **kindly refund \$180.00 to Deposit Account #150030.** Copies of the appropriate paperwork are attached. If you have questions, please do not hesitate to contact me. My phone number is 703-412-6296. Thank you for your assistance.

Sincerely,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

A handwritten signature in cursive script that reads "Debra J. Noel".

Debra J. Noel
Accounting Department

Enclosures

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**United States
Patent and
Trademark Office**

Return To:
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Deposit Account Statement

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Requested Statement Month:

April 2005

Deposit Account Number:

150030

Name:

NORMAN F. OBLON

Attention:

Address:

1940 DUKE STREET

City:

ALEXANDRIA

State:

VA

Zip:

22314

Country:

UNITED STATES OF AMERICA

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
04/01	1	10506620	258963US2PCT	1614	\$86.00	\$13,422.71
04/01	4	10481447	246240USOPCT	1806	\$180.00	\$13,242.71
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04/07	27	11033117	264380US3	1202	-\$2,350.00	\$27,668.71

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04/07 36	6834523	232194US3	1462	-\$270.00	\$27,938.71
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04/14 228	10921971	252909US/KQU	8007	\$80.00	\$25,193.71
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04/14 241	10013656	216286US0CON	1252	\$450.00	\$24,763.71
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04/18 89	0132710450	253805BR/CA/KR	8014	\$75.00	\$24,218.71

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OSMM&N File No. 243015US0

Dept.: U

Serial No. 10/667,304

By: NFO/VKS/sfb

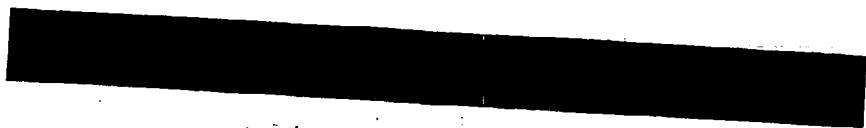
In the matter of the Application of: Youko SUGIO, et al.

For: PRODUCTION PROCESS OF 2,7-DIBROMOFLUORENONE

Due Date: N/A

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☒ Credit Card Form for \$790.00
- ☒ Dep. Acct. Order Form
- ☒ Request for Continued Examination (RCE) Transmittal
- ☒ Information Disclosure Statement
- ☒ PTO-1449
- ☒ Cited References 2



Docket No.: 243015US0

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Youko SUGIO, et al.

SERIAL NO: 10/667,304

FILED: September 23, 2003

FOR:

GAU: 1621

EXAMINER: Witherspoon, S.A.

PRODUCTION PROCESS OF 2,7-DIBROMOFLUORENONE

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

This is a request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.

Submission required under 37 C.F.R. §1.114

Previously Submitted:

- ☐ Consider the amendment(s)/reply under 37 C.F.R. §1.116 previously filed on
- ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on

Enclosed:

- ☒ Information Disclosure Statement (IDS)
- ☒ Other: Form PTO 1449
Cited References (2)

FEES	RATE	CALCULATIONS
<input type="checkbox"/> Suspension of action on the above-identified application is requested under 37 C.F.R. §1.103(c) for a period of months.	\$200.00	\$0.00
<input checked="" type="checkbox"/> RCE Fee required under 37 C.F.R. §1.17(e)	\$790.00	\$790.00
<input type="checkbox"/>		\$0.00
<input checked="" type="checkbox"/> INDEPENDENT CLAIMS: (1); DEPENDENT CLAIMS: (3)		\$0.00
TOTAL OF ABOVE CALCULATIONS:		\$790.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING AS SMALL ENTITY		\$0.00
TOTAL:		\$790.00

- ☐ A check in the amount of \$0.00 is enclosed
- ☒ Credit card payment form is attached to cover the fees in the amount of \$790.00
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 CFR 1.136, and any additional fees required under 37 CFR 1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Oblon

Vincent K. Shier, Ph.D.
Registration No. 50,552

Customer Number

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Docket No. 243015US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Youko SUGIO, et al.

SERIAL NO: 10/667,304

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EXAMINER: Witherspoon, S.A.

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COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

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- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
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- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
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Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Oblon

Customer Number

22850

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(OSMMN 05/03)

Vincent K. Shier, Ph.D.
Registration No. 50,552